



Modification Form

IRB status: Exempted
Created by: LELKES, YPHTACH
Principal investigator: LELKES, YPHTACH
Protocol title: Personality and Politics

Protocol description: We seek to determine the effect of question word order on answers to questions about personality and politics. To do this, we first asked a set of respondents to answer a set of questions related to politics, from a battery of well-established items. A week later, we recontact respondents. They are randomly assigned to complete a survey to another survey, which is either about politics and personality or music preferences and personality. All items are from well-established inventories.

Resubmission: No
Application type: EXEMPT Category 2

Modification

Federal Regulations require IRB approval before implementing proposed changes, including any alteration to content or form to the protocol, consent form, or supportive materials (Investigator's Brochure, questionnaires, surveys, recruitment materials, study personnel list, etc)

Refer to the following guidance for information on submitting amendments:

<http://www.upenn.edu/regulatoryaffairs/human/ApplicationProcedures.html#amend>

The requested information is needed for a comprehensive review, monitoring and oversight of research involving human subjects. Contact with the sponsor to obtain information for multi-center projects may be necessary.

Resubmission*

No

PennERA Protocol Status

Acknowledged

Are you submitting a Modification to this protocol?*

Yes

Current Status of Study

Study Status

Study has not begun (no subjects entered)

If study is currently in progress, please enter the following

Number of subjects enrolled at Penn since the study was initiated

0

Actual enrollment at participating centers

0

If study is closed to further enrollment, please enter the following

Number of subjects in therapy or intervention

Number of subjects in long-term follow-up only

IRB Determination

- Increase in target enrollment for investigator initiated research or potential Phase I research
- Expanding inclusion or removing exclusion criteria where the new population may be at increased risk
- Revised risk information with active participants
- Minor risk revisions that may affect a subject's willingness to continue to participate

Expedited Review

- Increase in target enrollment at Penn where overall enrollment target is not exceeded or potentially sponsored research
- Expanding inclusion or removing exclusion where the new population has the same expected risk as the previous, based on similarities of condition
- Revised risk information with subjects in long-term follow-up
- Minor risk revisions with no subjects enrolled to date

Expedited Review

Modification Summary

We added two survey experiments (no deception). First, respondents were randomly assigned to the following:

Condition 1:

Approximately 862,000 legal abortions were performed in the United States in 2017, the last year with available data, according to the Guttmacher Institute. The total number of abortions performed in the United States and reported to the Center for Disease Control since 1973 is approximately 45 million, but the actual number is certainly higher because certain states and regions do not report this information to the CDC.

Condition 2:

There is a historically large gap between the rich and ordinary people in the United States, with the wealthiest enjoying unprecedented luxury and tens of millions of middle income American families having difficulty making ends meet. Today, the top 0.1% of households (1 out of every 1,000) own the same amount of wealth as the bottom 90% of households combined. Meanwhile, about 40% of the U.S. population is poor or low income. According to the U.S. Department of Agriculture's Economic Research Service, 14.3 million American households were uncertain of having, or unable to get, enough food to adequately feed themselves at some point during 2018.

They were then asked about their attitudes towards democracy using a popular scale.

Second, respondents were randomly assigned to one of three survey questions:

There is currently a discussion about the right way to respond to the Corona Virus. Some groups want to ban long-distance traveling within the United States to prevent the transmission of the virus. To what extent do you agree or disagree that long-distance traveling should be banned within the United States?

There is currently a discussion about the right way to respond to the Corona Virus. Republicans want to ban long-distance traveling within the United States to prevent the transmission of the virus. To what extent do you agree or disagree that long-distance traveling should be banned within the United States?

There is currently a discussion about the right way to respond to the Corona Virus. Democrats want to ban long-distance traveling within the United States to prevent the transmission of the virus. To what extent do you agree or disagree that long-distance traveling should be banned within the United States?

As Democrat and Republican positions are actual positions by Democrats and Republicans, no deception is involved.

Risk / Benefit

No

Change in Consent

No

Details of Consent Change

Protocol Information

Protocol number 842545

PennERA Protocol Status Exempted

Protocol title The Recursive Relationship Between Personality and Politics

Short Title Personality and Politics

Brief description of the protocol We seek to determine the effect of question word order on answers to questions about personality and politics. To do this, we first asked a set of respondents to answer a set of questions related to politics, from a battery of well-established items. A week later, we recontact respondents. They are randomly assigned to complete a survey to another survey, which is either about politics and personality or music preferences and personality. All items are from well-established inventories.

Resubmission* No

Application type EXEMPT Category 2

Hospital Sites

Will **any** research activities and/or services be conducted at a Penn Medicine affiliated hospital site?

No

Principal Investigator*

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State
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Human Research Training Complete
Name of course completed CITI Protection of Human Subjects Research Training - ORA

Study Contacts

Other Investigator

Name
Penn ID
Department/School/Division -
Campus address, mail code

Address
City
State
Zip
Phone
Fax
Pager
Email

Responsible Org (Department/School/Division)

If your Org is not available for selection, please contact hsera_help@lists.upenn.edu and identify the approver (Dept Chair) for your Org so that it can be updated for you.

3600 - Annenberg School for Communication

Key Study Personnel

Disclosure of Significant Financial Interests*

Investigators (persons responsible for the design, conduct or reporting of this research protocol) must disclose any of the following financial interests / relationships with any entity that sponsors, provides support, or otherwise has a financial interest in the conduct or outcome of this research protocol (Outside Organization):

- Payments received for the past 12 months from a publicly traded Outside Organization for personal services (e.g., consulting, lecturing / speaking, service on the Scientific Advisory Board) plus the value of any current equity that when aggregated exceeds \$5,000
- Payments received for the past 12 months from a non-publicly traded Outside Organization for personal services that in total exceed \$5,000, or having **any** equity interest
- Membership on the governing board of any Outside Organization, including service on its board of directors, or having a position of authority or responsibility to act in its best interests, including being an officer, manager, partner, or limited liability company member with management responsibility

Investigators must also disclose any financial interest in a drug, device or other product or a competing product (IP rights), regardless of whether the IP has been patented, licensed, or assigned to Penn, if such IP is being tested, evaluated, or developed in, or if its commercial value could be affected by, this protocol.

Investigators are **not** required to disclose equity in mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles.

Does any Investigator (or his or her spouse or dependent children) have a SIGNIFICANT FINANCIAL INTEREST, as defined above?

No

Penn Intellectual Property*

To the best of the Principal Investigator's knowledge, does this protocol involve the testing, development or evaluation of a drug, device, product, or other type of intellectual property (IP) that is owned by or assigned to the University of Pennsylvania?

No

Certification

I have conferred with the Principal Investigator and to the best of his/her knowledge, confirm that the answers to the above questions are correct.



Human Source Material*

Does this research include collection or use of human source material (i.e., human blood, blood products, tissues or body fluids)?

- IF YES, consult the EHRS web site: www.ehrs.upenn.edu/programs/bio/bbpathogens.html for information on OSHA Bloodborne Pathogens requirements (training, vaccination, work practices and Exposure Control Plan).
- If you have questions, call 215-898-4453.

No

Medical Information Disclosure*

Does the research proposal involve the use and disclosure of research subject's medical information for research purposes?

No

CTRC Resources*

Does the research involve CTRC resources?

No

Pathology and Laboratory Medicine Resources*

Will samples be collected by hospital phlebotomy and/or processed or analyzed by any of the clinical laboratories of the University of Pennsylvania Health System?

No

Please confirm analysis is being performed at outside contract laboratories OR confirm that no samples are being collected for research purposes.

** If neither of the above options applies to your research, then please change your answer to the above question. Please confirm analysis is being performed at outside contract laboratories OR confirm that no samples are being collected for research purposes.

** If neither of the above options applies to your research, then please change your answer to the above question.

Research Involves Apheresis, Cell Collection, and/or Blood Product Collection*

Does this research involve collection of blood products in the Penn Donor Center and/or the use of apheresis for treatment or collection of cells or other blood components?

No

Research involving blood transfusion or drug infusions*

Will your research involve blood transfusion or infusion of study drug in 3 Ravdin Apheresis Unit for research purposes?

No

Trial in Radiation Oncology

Is this research a prospective trial being done in Radiation Oncology, and if so, has this protocol been approved by the Radiation Oncology Protocol committee?

N/A

Study in Radiation Oncology

Is this research a retrospective study being done in Radiation Oncology, and if so, has this project been reviewed by the Radiation Oncology Clinical Research Group?

N/A

Use of UPHS services*

Does your study require the use of University of Pennsylvania Health System (UPHS) services, tests or procedures*, whether considered routine care or strictly for research purposes? (UPHS includes all Penn hospitals and clinical practices, including the Clinical Care Associates network of community practices).

*Examples of UPHS services/tests/procedures includes the Clinical Translational Research Center (CTRC), laboratory tests, use of the pathology lab, cardiovascular imaging tests or radiology imaging tests (whether being billed via the Service Center or through UPHS), other diagnostic tests & procedures and associated professional services, etc.

No

Primary Focus*

The **primary** focus of your research is best described by which of the following (single best answer):

Survey research (the main focus of the research is administration of a survey to research subjects)

Protocol Interventions*

Does your protocol require any of the following interventions? Check all that apply.

Survey instrument

Business Administrator***

***The Department of Medicine requires the inclusion of a Business Administrator (BA) for all regulatory submissions.

Name

Department/School/Division -

Phone

Fax

Pager

Email

Department budget code

000- 0000 - 0 - 000000 - 0000 - 0000 - 0000

Funding Sponsors

Project Funding*

Is this project funded by or associated with a grant or contract?

No

Site Information

The questions on this page are designed to identify studies that will utilize single IRB review. It is now a common practice for multi-site research studies to seek ethical review and approval of the proposed research by a single IRB. The IRB conducting this review is often referred to as the IRB of Record or Reviewing IRB. The IRBs affiliated with the research sites are then asked to enter into Reliance Agreements or IRB Authorization Agreements and accept the initial and ongoing ethical review and approval of the IRB of Record rather than performing their own separate IRB review and approval. The IRBs that accept the approval of the IRB of Record are referred to as Relying IRBs.

Please note that HUP, Pennsylvania Hospital, Presbyterian Hospital, Chester County Hospital, Lancaster General Hospital, and Princeton Medical Center are all considered Penn centers. Research conducted at more than one Penn center is not considered multi-center research. The research will only be considered multi-centered research if additional non-Penn centers are also engaged in the research.

Penn as lead

1. Is this a multi-center study where Penn is serving as the Lead Site or the Penn PI is serving as the Lead Investigator?*

Penn irb of record

2. Is this a multi-center study where the Penn IRB will be asked to serve as the IRB of Record for other external study sites?*

Objectives

Overall objectives

We hope to test whether politics has an influence on personality. Past literature has assumed that personality is relatively fixed and has an exogenous influence on political beliefs.

Background

There is a large literature on the relationship between personality and politics. Most of this literature has assumed that personality is exogenous to politics, i.e., being more open to experience will lead you to support more left-wing cultural policies. Because these researchers have assumed that personality is exogenous, they measure personality and politics at the same time. However, personality is actually quite malleable.

Study Design

Design

Using an online sample, such as mechanical turk, we first recruit people to complete a survey on politics. We use survey items from the American National Election study to measure politics. One week later, we ask these people to take another survey. They are told that the study is either about politics or personality. In one condition, they first answer a series of questions about political beliefs, then answer questions from an established personality inventory. In another condition, they first answer a series of questions about music preferences, then answer questions from an established personality inventory. In the third condition, they simply give responses to the personality inventory.

Study duration

- Estimated length of time to enroll all subjects and complete the study
- Length of a subject's participation time in study
- Project date of the proposed study

Two weeks

10 minutes per survey

March 1

Target population

All adults over 18 who are part of the online research population

Subjects enrolled by Penn Researchers

0

Subjects enrolled by Collaborating Researchers

0

Vulnerable Populations*

None of the above populations are included in the research study

There are no documents attached for this item.

Subject recruitment*

Respondents are recruited via a HIT on mechanical turk or through a vendor's online panel.

Use the following button to upload sample **recruitment materials** (i.e. radio/video scripts, flyers, internet postings, etc.) For guidance regarding recruitment materials, please see the following link:

<http://www.upenn.edu/regulatoryaffairs/Documents/irbgui-4.pdf>

There are no documents attached for this item.

Will the recruitment plan propose to use any Penn media services (communications, marketing, etc.) for outreach via social media avenues (examples include: Facebook, Twitter, blogging, texting, etc.) or does the study team plan to directly use social media to recruit for the research?*

No

Subject compensation*

Will subjects be financially compensated for their participation?

Yes

Summarize any financial compensation that will be offered to subjects, e.g. Greenphire ClinCard, cash payments, gift card, reimbursement for travel. The amount of compensation may not constitute an undue inducement to participate in the research. A prorated system of financial compensation is required in most circumstances. Provide the schedule for compensation per study visit or session and total amount for entire participation. Subjects will be given \$2 for participation.

There are no documents attached for this item.

Suicidal Ideation and Behavior

Does this research qualify as a clinical investigation that will utilize a test article (ie- drug or biological) which may carry a potential for central nervous system (CNS) effect(s)?

- Central nervous system(CNS) effect: the ability of a test article to enter into and potentially interact with the central nervous system (brain and spinal cord).
- Clinical Investigation: Any experiment that involves a test article and one or more human subjects that either is subject to requirements for prior submission to the Food and Drug Administration (FDA) under section 505(i) or 520(g) of the Federal Food, Drug, and Cosmetic Act, or is not subject to the requirements for prior submission to the FDA under these sections of the act, but, the results of which are intended to be submitted later to, or held for inspection by, the FDA as part of an application for a research or marketing permit.

No

Procedures

- Describe study procedures. Include a table or flow chart, if necessary, showing the schedule of the procedures and interactions. It is important to distinguish between inventions that are experimental and carried out for research purposes versus those that are considered standard of care. In addition, routine procedures that are performed solely for research purposes should also be identified.
- Describe the follow-up of subjects and identify any procedures that are performed exclusively for research purposes or performed more frequently than would be clinically indicated (eg: additional x-rays)
- You may upload additional documentation using the **Upload** button below

Participants are first recruited and asked to complete a personality measure. One week later they are recontacted and asked to take a second survey. After completion, subjects are compensated. Two survey experiments are embedded in the study.

There are no documents attached for this item.

Deception

No

International Research

Are you conducting research outside of the United States? *

No

Analysis Plan

- **Note**: If the statistical methods used are described in the detailed protocol, this section may reference the detailed protocol.

We determine whether the correlation between the political attitudes asked in the first wave and the personality measures asked in the second study vary by condition assignment. We use regression to analyze these effects. Data are aggregated and presented in regression tables.

There are no documents attached for this item.

Subject Confidentiality

- Methods to shield participants' identity adequately protect participant privacy.

The long-range plan for protecting the confidentiality of research data, including a schedule for destruction of identifiers associated with the data.

We do not collect any identifiable information. Participants are compensated through the online panel ID.

Sensitive Research Information*

Does this research involve collection of sensitive information about the subjects that should be excluded from the electronic medical record? [NOTE: This does not apply to: 1) research information that would not normally be included in the electronic medical record or 2) information that is in the electronic medical record as part of clinical care.]

No

Data Disclosure

Will the data be disclosed to anyone who is not listed under Personnel? If so, identify disclosures.

After publication, data will be publicly available. However, we do not include any identifiable information.

Protected Health Information/Data Protection*

None

Does your research request both a waiver of HIPAA authorization for collection of patient information **and** involve providing Protected Health Information ("PHI") that is classified as a "limited data set" (city/town/state/zip code, dates except year, ages less than 90 or aggregate report for over 90) to a recipient outside of the University of Pennsylvania covered entity?

No

1. Consent Process

Overview

Participants will receive an information sheet and indicate consent with an acceptance button on a survey. The information sheet will be presented online; the survey will then immediately follow. Participants can opt-out of questions or the survey at any point without consequence.

Potential Study Risks

This study should pose no risk to participants. Questions are non-sensitive and non-invasive. We do not collect identifiable information.

Potential Study Benefits

The primary benefit is societal and academic. We will generate results that potentially question the assumptions of a subfield of political science and psychology.

Risk / Benefit Assessment

- Assess the ratio of the benefit to be obtained from the study relative to the risks involved. The risks of participation in the research must be balanced by the potential benefits of the research to potential subjects and/or society.
- **Note:** "Minimal risk" means that the risks of harm anticipated in the proposed research are not greater, considering probability and magnitude, than those ordinarily encountered in daily life or during the performance of routine physical and psychological examinations or tests.

The minimal risks are outweighed by contributions to social science.

All documents

There are no documents.

Send questions or comments about this site to: hsera_help@lists.upenn.edu or hsera_ctrc@lists.upenn.edu - Please do not include confidential information in your Email.

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